

OUTCOMES OF AQTF AUDITS CONDUCTED BY DEPARTMENT OF EDUCATION AND TRAINING

The following summarises the latest audit findings from all AQTF audits conducted at Mount Isa Institute of TAFE by the Department of Education and Training. A brief summary of any significant and/or critical non-compliances and actions taken to rectify these issues has been included.

Audit Date	Qualification Code	Qualification Name	Non-compliances identified	Rating	Brief summary of non-compliances identified	Brief summary of actions taken	Non compliances rectified	Outcome following rectifications
March 2009	30497QLD	Course in Operating Cranes, Rigging and Scaffolding Equipment	Yes	Significant	<ul style="list-style-type: none"> The training and assessment strategy does not align with the requirements of the accredited course documents. Easy Guide training material which is an assessment based training guide that does not meet the National Competency Standards. The team does not have a formal agreement with plant equipment suppliers. 	Institute voluntarily relinquished registration for this qualification	N/A	N/A
March 2009	UTE31199/ UEE30807	Certificate III in Electrotechnology Systems Electrician	Yes	Significant	<ul style="list-style-type: none"> How the institute ensures workplace support for practical application of skills before making competency judgements How competency in UTENES units is determined and recorded Preparedness to 	<ul style="list-style-type: none"> Third party reports created to provide employer support Checklists created to validate UTENES units. Sample of tools 	Yes	Compliant

Rating Legend: Compliant with the requirements of the Australian Quality Training Framework – Essential Conditions and Standards for Continuing Registration
 Minor Non Compliance – No, or minor, adverse impact on learners serious breakdown of provision of quality of training and assessment
 Significant Non Compliance – Significant adverse impact on learners with insufficient focus on quality training and assessment outcomes
 Critical Non Compliance – Critical adverse impact on learners with widespread or persistent dissatisfaction with services and outcomes

Audit Date	Qualification Code	Qualification Name	Non-compliances identified	Rating	Brief summary of non-compliances identified	Brief summary of actions taken	Non compliances rectified	Outcome following rectifications
					commence delivery of competencies within UEE30807	provided for UEE30807		
March 2009	39136QLD	Certificate II in Housing Repairs & Maintenance for Indigenous Communities	Yes	Significant	<ul style="list-style-type: none"> Training and assessment strategies do not identify the requirement for the pre-requisite unit <i>BCGCM1001B Follow OH&S policies and procedures.</i> No benchmark criteria have been developed for the qualification. 	<ul style="list-style-type: none"> Training and assessment strategy modified to reflect pre-requisite unit BCGCM1001B Assessment documents updated to reflect benchmarking 	Yes	Compliant
March 2009	AUR30405	Certificate III in Automotive Mechanical Technology (Light Vehicle)	Yes	Minor	<ul style="list-style-type: none"> Strategies for training and assessment do not demonstrate how employer support for competency will be gathered and recorded prior to competency judgements being made. The suite of assessment tools used for <i>AURT301166A Repair engines and associated engine components</i> do not ensure underpinning knowledge relating to diesel environmental protection is assessed. 	<ul style="list-style-type: none"> Record book redeveloped to supply employer support for competency and issued to all apprentices. Assessment tools reviewed and amended to diesel environmental protection. 	Yes	Compliant

Rating Legend: Compliant with the requirements of the Australian Quality Training Framework – Essential Conditions and Standards for Continuing Registration
 Minor Non Compliance – No, or minor, adverse impact on learners serious breakdown of provision of quality of training and assessment
 Significant Non Compliance – Significant adverse impact on learners with insufficient focus on quality training and assessment outcomes
 Critical Non Compliance – Critical adverse impact on learners with widespread or persistent dissatisfaction with services and outcomes

Audit Date	Qualification Code	Qualification Name	Non-compliances identified	Rating	Brief summary of non-compliances identified	Brief summary of actions taken	Non compliances rectified	Outcome following rectifications
March 2009	BCG30203	Certificate III in Carpentry	Yes	Critical	<ul style="list-style-type: none"> The strategies for training and assessment do not meet the applicable industry licensing and/or other regulatory requirements as the role of the Building Code of Australia is not referenced. Students have been admitted to undertake training in units despite not having completed earlier underpinning units Benchmark criteria to determine competency requirements and consistent assessment judgements, provided insufficient direction to assessors. 	<ul style="list-style-type: none"> Training and assessment strategy updated to include required information. Process put in place to ensure student complete underpinning units prior to other units. Assessments updated to include required benchmark criteria. 	Yes	Compliant
March 2009	BSB30201	Certificate III in Business Administration	Yes	Minor	<p>Evidence provided at the audit did not confirm:</p> <ul style="list-style-type: none"> How the institute ensures employers support the institute's competency judgements for trainees at unit of competency level 	<ul style="list-style-type: none"> Record book redeveloped to supply employer support for competency and issued to all trainees 	Yes	Compliant

Rating Legend: Compliant with the requirements of the Australian Quality Training Framework – Essential Conditions and Standards for Continuing Registration
 Minor Non Compliance – No, or minor, adverse impact on learners serious breakdown of provision of quality of training and assessment
 Significant Non Compliance – Significant adverse impact on learners with insufficient focus on quality training and assessment outcomes
 Critical Non Compliance – Critical adverse impact on learners with widespread or persistent dissatisfaction with services and outcomes

Audit Date	Qualification Code	Qualification Name	Non-compliances identified	Rating	Brief summary of non-compliances identified	Brief summary of actions taken	Non compliances rectified	Outcome following rectifications
					<ul style="list-style-type: none"> How assessment of legislation is conducted within BSBDIV301A 	<ul style="list-style-type: none"> Assessment modified to ensure BSBDIV301A is assessed appropriately 		
March 2009	CHC41702	Certificate IV in Alcohol and Other Drugs	Yes	Minor	<ul style="list-style-type: none"> As there was no observation checklist used to gather the evidence, the team was unable to evidence the competence of the practical observation 	<ul style="list-style-type: none"> Observation checklist was developed to appropriately assess practical observation. 	Yes	Compliant
March 2009	MEM30205	Certificate III in Engineering (Mechanical Diesel Fitting)	Yes	Significant	<ul style="list-style-type: none"> That the training and assessment strategy has been reviewed to demonstrate current unit codes, accurate number of points to achieve qualification and accurate assessment methods How the institute ensures employers support the institute's competency judgements for trainees at unit of competency level How assessment of Required Skills of MEM12023A and MEM05012C is conducted How assessors are provided with sufficient benchmark criteria to 	<ul style="list-style-type: none"> Training assessment strategy amended to reflect required changes Record book redeveloped to supply employer support for competency and issued to all apprentices Assessments amended to meet requirements included benchmarking 	Yes	Compliant

Rating Legend: Compliant with the requirements of the Australian Quality Training Framework – Essential Conditions and Standards for Continuing Registration
 Minor Non Compliance – No, or minor, adverse impact on learners serious breakdown of provision of quality of training and assessment
 Significant Non Compliance – Significant adverse impact on learners with insufficient focus on quality training and assessment outcomes
 Critical Non Compliance – Critical adverse impact on learners with widespread or persistent dissatisfaction with services and outcomes

Audit Date	Qualification Code	Qualification Name	Non-compliances identified	Rating	Brief summary of non-compliances identified	Brief summary of actions taken	Non compliances rectified	Outcome following rectifications
					ensure consistent assessments are made of practical activities.			
March 2009	TAA40104	Certificate IV in Training and Assessment	Yes	Minor	<ul style="list-style-type: none"> No benchmark criteria provided for the assessment of practical assessments. RPL documentation provided was inadequate. 	<ul style="list-style-type: none"> Updated version of resources purchased which includes benchmarking for practical assess. RPL assessor's kit redeveloped and utilised. 	Yes	Compliant
March 2009	THH21802	Certificate II in Hospitality (Operations)	Yes	Significant	<ul style="list-style-type: none"> The team was unable to provide any HR evidence for one of the delivery staff The organisation's staff have not complied with DETA's Retention of Student Results and Assessment Records Policy for RTO's. 	<ul style="list-style-type: none"> Teacher profile updated to include copies of qualifications Retention of Students Results & Assessment records training has been provided to all staff. 	Yes	Compliant

Rating Legend: Compliant with the requirements of the Australian Quality Training Framework – Essential Conditions and Standards for Continuing Registration
 Minor Non Compliance – No, or minor, adverse impact on learners serious breakdown of provision of quality of training and assessment
 Significant Non Compliance – Significant adverse impact on learners with insufficient focus on quality training and assessment outcomes
 Critical Non Compliance – Critical adverse impact on learners with widespread or persistent dissatisfaction with services and outcomes